

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Yukihisa TAKEUCHI, Tsutomu NANATAKI, Iwao OHWADA and Takayoshi AKAO

Ser. No.: 10/730,754

Art Unit: 2879

Filed: December 8, 2003

Examiner: Karabi Guharay

Confirmation No.: 4467

For: A HIGH EMISSION, LOW VOLTAGE ELECTRON EMITTER

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. 1.97(c)**

**TIME OF TRANSMITTAL OF ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

1. The information disclosure statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last but *before* the mailing date of either:
 - (1) a final action under § 1.113,
 - (2) a notice of allowance under § 1.311 or
 - (3) an Office Action that closes prosecution.

STATEMENT OR SUBMISSION FEE

2. Accompanying this transmittal is
 - A. ☒ a statement as specified in 37 C.F.R. 1.97(e).

OR

- B. ☐ the submission fee set forth in 37 C.F.R. 1.17(p) for submission of an information disclosure statement under § 1.97(c). (\$180.00).

Fee due: \$00

METHOD OF PAYMENT OF FEE

3. ☐ Attached is a check in the amount of \$.
- ☐ Charge Account No. 50-1446 in the amount of \$. A duplicate of this request is attached.
- ☐ The fees associated with this paper are being paid electronically with this submission.
4. ☒ If any additional fees are due, please charge Deposit Account 50-1446.

Respectfully submitted,



Kevin C. Brown
Reg. No. 32,402

September 20, 2006

Date

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Practitioner's Docket No.: 789_118 CIP

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of: Yukihiisa TAKEUCHI, Tsutomu NANATAKI, Iwao OHWADA
and Takayoshi AKAO

Serial No.: 10/730,754

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CERTIFICATION UNDER 37 C.F.R. § 1.97(e)

Sir:


☐ Each item of information contained in the Information Disclosure Statement filed herewith was *first* cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

OR

☒ No item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

September 20, 2006
Date



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INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. Required copies of the references listed on Form PTO-1449 are attached.

Also enclosed is a copy of an Office Action from a related Japanese patent application bearing a mailing date of July 18, 2006, and the U.S. PTO is directed thereto for a concise statement of possible relevance of the references cited therein. The remaining reference cited in the Japanese Office Action was previously cited as Reference AH in an Information Disclosure Statement filed December 8, 2003 in the above-identified application.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that these references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446.

Respectfully submitted,



Kevin C. Brown
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September 20, 2006

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Form PTO-1449

US DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT***(use as many sheets as necessary)*

Sheet 1 of 1

Complete if Known

Application Number	10/730,754
Filing Date	December 8, 2003
First Named Inventor	Yukihisa TAKEUCHI
Art Unit	2879
Examiner Name	Karabi Guharay
Confirmation No.	4467
Attorney Docket No.	789 118 CIP

U.S. PATENT DOCUMENTS

Exam Initial	Document Number	Date	Name	Our Docket No.	Class	Sub Class	Filing Date

FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Sub Class	Translation	Abstract
DI	06-103886	04/15/1994	JP			JPO Machine Translation	✓
DJ	10-241553	09/11/1998	JP			JPO Machine Translation	✓

OTHER DOCUMENTS**(Including Author, Title, Date, Pertinent Pages etc.)**

Examiner:

Date Considered:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.